Understanding Indexes: WORD and Other

Ex Libris

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Scope of the Lecture



Indexes to be discussed:

- Words
- Direct
- Sort
- Short doc



Scope of the Lecture



Points for discussion in each index:

- Index structure (Oracle tables)
- Specifying index
- Index creation and
 - update
- Performance issues



Word Index



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- Index structure (Oracle tables)
- •Specifying index
- Word breaking routines
- Character conversion
- Synonyms
- Adjacency
- Useful utilities
- Index creation and update
- Performance issues



Database Tables

Word Index:

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- Z97 word dictionary
- **Z98/Z980** bitmap
- Z95/Z950 document and its words

Understanding Indexes

• Z970 - synonyms



Database Tables

Z97 - Word dictionary

- A list of all the searchable words derived from information in the document record.
- Unique words
- Translation of a word as it is stored in the database to its internal representation



Z97 - Word Dictionary

Record 1 out of 1

Book Number	000003328		
LC no.	PZ7.D1515 Wi 1998		
ISBN	● <u>0141301104</u>		
Main Entry	● <u>Dahl, Roald</u>		
Title	• <u>The</u> witches /		
Imprint	New York : Puffin Books, 1998.		
Descr.	206 p. : ill. ; 24 cm.		
Abstract	A young boy and his Norwegian grandmother, children by turning them into mice.		
Subject - Lib.Cong.	• Witches - Juvenile fiction.		
	•Grandmothers Juvenile fiction.		
Subject - A.C.	Witches - Fiction.		
	Grandmothers Fiction.		
Add.Entry	Blake, Quentin, ill.		



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Z97 - Word Dictionary





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Z98, Z980, Z95, Z950 maintain pointers from the words registered in Z97 to the documents.

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Z98 - Bitmap

- Map of word occurrences in documents
- Compressed
- One record for every combination of word and index

Z98 - Bitmap



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Your Bitmap Reading Assistant

•UTIL F/4 - word3

• This utility reads the bitmap in order to find the documents that contain word X stored in index Y.

	001	00012066	51		
Inday V	Doc	number	=	000000092	Word X
index t	Doc	number	=	000000093	
	Doc	number	=	000000095	
	Doc	number	=	000000096	
	Doc	number	=	000000099	
	Doc	number	=	000000101	
	Doc	number	=	000000102	
	Doc	number	=	000000103	
	Doc	number	=	000000104	
	Doc	number	=	000000105	
	Doc	number	=	000000106	
	Doc	number	=	000000108	
	Doc	number	=	000000109	
	Doc	number	=	000000110	
	Doc	number	=	000000111	
	Doc	number	=	000000114	
	Doc	number	=	000000133	
	Doc	number	=	000000137	
	Doc	number	=	000000140	
	Doc	number	=	000000144	
	Doc	number	=	000000148	

Z980

Z980 - complementary record to z98

- Cache of bitmap updates
- Stores increments in order to increase speed of large bitmap updates.



Z95/Z950

•Each document has a z95 record containing all of its words and their locations







Z95/Z950

Documents and their words, location of words for adjacency search



 Why do we need the 'synonyms' functionality?

Synonyms enable automatic expansion of the user query using semantic relatives or spelling variants.

For example, if *the following words* are set as synonyms, a FIND on any one of these words will retrieve the docs of all the other words.

Group 1: *wood, woods,woodland,forest, forests* Group 2: *airplane, aeroplane*



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- Synonyms are stored in **Z970**.
- A synonym group is identified by a common word (Z970-COMM-WORD); this word is set by the system (first word of the group in the Z970 table).

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Group's common word	Group's words
airplane	aeroplane
airplane	airplane
color	color
color	colour



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• Synonymous words share the same bitmap value (word number in Z97)



00000040 <mark>.</mark>	-000000040
00000040	-000000041
0	0000040 0000040





- The 'synonyms functionality' is optional.
- Z970 has to be set only by the sites which use the synonyms functionality.
- To set up synonym functionality, use UTIL B in order to add, remove unlink and view synonyms.



Words Index - Structure



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How to Define the Word Index Index?

Tables to remember

- •tab00.lng defines the system index codes
- •tab11_word defines connections between the bibliographic record fields and the indexes
- •tab_expand defines expand procedures which have to be activated when index is created (WORD)
- •tab_word_breaking defines word breaking procedures
- •tab_character_conversion_line instance WORD-fix – defines character conversion table for word index normalization

•aleph_start_505 adjacency handling definition

How to Define the Structure of the Word Index - Interrelation of Tables



How to Standardize the Database Dictionary?

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What is a Word?

The default definition of a **word** is: a character string from blank to blank, or from the beginning of a line to the first blank, or from the last blank to the end of a line.



What is a Word?

•Problematic cases :

- •I.B.M IBM
- Year-book yearbook

Word breaking procedures are used to define what will be considered a "word", i.e how to break into words.



tab_word_breaking

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- From version 14, the word breaking routines are made up of a group of individual procedures.
- Word breaking routines are defined in tab_word_breaking:

```
01 # del_subfield

01 # numbers

01 # to_blank !@#$%^()_={}[]:";<>,.?|\

01 # to_lower

!

03 # del_subfield

03 # abbreviation

03 # numbers

03 # to_blank !@#$%^()_={}[]:";<>,.?|\

03 # to_lower
```



tab_word_breaking



- Col.1: procedure identifier
- Col.2: alpha of the text
- Col.3: procedure name
- Col.4: procedure parameters



Word Breaking Procedures

abbreviation

Compresses a dot between single characters (I.B.M. changes to IBM)

numbers

Compresses a comma and a dot between numbers (e.g., 2,153 changes to 2153)



Word Breaking Procedures

compress

Strips characters listed in col. 4.

• to_blank

Changes characters listed in col. 4 to blanks.

• marc21_41

041 for separating languages in MARC21 field 041.

Example:

Input: 041 0#\$aengfreger

Output: eng fre ger



Word Breaking Procedures

IMPORTANT NOTE

The procedures must be listed in logical order. For example, **numbers** must be listed before **compress** or **change_to_blank** if a comma or a dot is included in them.

Otherwise, they will no longer be present when the **numbers** procedure is used.



In Addition

The system automatically carries out triple posting for hyphens and apostrophes: (1) as separate words; (2) as is (with hyphen/apostrophe); (3) with hyphen/apostrophe compressed.



In Addition

Example: twenty-five is indexed as:

- twentyfive
- twenty
- five
- twenty-five



Character Conversion

After text has been broken into words, a character conversion table is used to define equivalencies for characters.



Character Conversion

Use the character conversion table, assigned to the **WORD-FIX** instance in **tab_character_conversion_line**, in order to define equivalencies of characters for the purpose of creating words.

tab_character_conversion_line

FILING-KEY-01	##### # line_utf2line_utf	unicode_to_filing_01
FILING-KEY-02	##### # line_utf2line_utf	unicode_to_filing_02

line_utf2line_utf

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WORD-FIX

unicode_to_word_gen



Character Conversion

For example, to set \ddot{u} as ue, you may use the equivalency table to set the equivalency of \ddot{u} (00FC) to u + e (0075 + 0065).

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Adjacency & Proximity



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Adjacency & Proximity

Proximity queries are executed in 2 steps:

- Search for "civil and war" to establish a set of candidate records.
- Check each candidate for the positioning of the words to insure that the requested proximity is valid.
- The positioning is stored in the Z95 record.

The second step is extremely slow, especially when all searched words are common.



Adjacency & Proximity

Fortunately, it turns out that most proximity queries are actually adjacency queries, like "civil war".

With version 14.2 it is possible to build the Word index in a way that will improve the performance of adjacency queries dramatically.



Adjacency Search - Setup

Two ways to setup adjacency search:

- adjacency works on Z95 as proximity '%0'
- word dictionary (Z97) contains paired words for adjacency searching.



When adjacency is requested in the search query, the two words are treated as one concatenated word.





Adjacency Search - Setup: Advantages and Disadvantages

Creation of paired words for adjacency searching (default and highly recommended):

- + solves performance problems.
- requires additional resources:
 - The dictionary table (Z97) is three times the size
 - The "Words per Doc" table (Z95) is twice the size
 - The number of Bitmaps (Z98) is three times higher, but most of them have very few records, so the effect is less than 3 times the size.

The building process is slightly slower, especially p_manage_01_e.



Adjacency Search - Setup: Advantages and Disadvantages

adjacency works on Z95 as proximity '%0':

low performance+ economizes disc space

Note: There is a limit on proximity searching, dependent on the number of records in the set. In order to retain reasonable performance, the proximity query should be cancelled if the set has more than 1000 records. This is set in **www_server_defaults:**

setenv set_prox_limit 01000



Adjacency Search - Setup

Creation of paired words is set in

aleph_start_505 :

14.2:

setenv ADJACENCY :

1 - create; N - do not create

15.2:

setenv ADJACENCY : 2 – create; 0 – do not create

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Words Index Creation and Update

Creation - p_manage_01 Update - ue_01







Retrieval from the Words Index - Performance Issues



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Retrieval from the Word Index -Performance Issues - *pre 15.2*

In order to ensure reasonable response time, make sure to setup the following variables in www_server_defaults:

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- set_word_limit
- set_hit_limit



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Retrieval from the Word Index -Performance Issues - *pre 15.2*

•set_word_limit:

- Limits the number of words that will be "collected" when truncation is used (e.g. find a? will perform a find on all words beginning with a).
- A number of Z97 records (i.e. distinct words) retrieved in a given search. When the limit is exceeded, the search is stopped.



Retrieval from the Word Index -Performance Issues - pre 15.2

•set_hit_limit:

limits the number of retrieved documents (hits). When the number of hits is above this value, the set is created, but it does not contain pointers to the documents.

NOTE : it is not recommended to set set_hit_limit to the value higher than 50000.



Retrieval from the Word Index -Performance Issues - 15.2

•set_hit_limit is obsolete

•**set_result_set_limit** - limits the number of documents that will display in a result set.

For example, the FIND command might "find" 20,000 relevant documents, but if set_result_set_limit is set to 500, then only the first 500 docs will display, and there is no way to have more docs display.

NOTE: When REFINE is done on a set, the original FIND is repeated + the "refine", so the REFINE works in a true manner, and not on the result_set.



Normalization of Incoming Request

- It is not possible to consult tab11/ tab11_word for incoming requests, since the Word index code (e.g., WRD, WAU) does not guarantee the uniqueness of the word breaking procedure.
- Incoming requests always use procedure 90 in tab_word_breaking. This is valid for 14.2.4 and higher.

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90 # to_blank @\$%^()_={}[]:";<>,.l\



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Direct Index



- Database tables
- How to define the index
- How to create / recreate the index



Direct Index

Direct indexes enable the user to retrieve a specific record. A direct index is suited to **unique** or almost unique identifiers of the record, and provides **quick access** to a record.



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Database Tables - Z11

```
01 z11_index \

02 z11_rec_key \

03 ind_code .....ISBN

03 filing_text ....0023904100

03 sequence_1 ....000001593

02 z11_doc_number ...000001593

02 z11_alpha .....L

02 z11_text .....$$a0023904100
```



How to Define the Direct Index?

Tables to remember

- •tab00.lng defines the system index codes
- •tab11_ind defines connections between the bibliographic record fields and the indexes
- •tab_filing defines filing procedures
- •tab_expand defines expand procedures which have to be activated when index is created (INDEX)
- •tab_character_conversion_line -

defines character conversion routines

•unicode_to_filing_nn -

character conversion table used for normalization of headings

How to Define the Structure of the Headings Index - Interrelation of Tables



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Creation and Update

Creation :

 Z11 is created when the document is sent to the server (before ue_01)
 p_manage_05 (Create Direct Index)

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<u>Update</u> - ue_01



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Sort Keys



- Database tables
- How to define sort keys
- How to create / recreate sort keys





When a list of brief records is displayed in the OPAC, Z101 is used in order to arrange records in a specified order.

Results for Words= (history of art) Sorted by Year, then Author

Records 1 - 9 of 13

Jump to # Up Forward

#	Author	Title	Year	Rank	Hold	Location
<u>1</u> 🗆	Barzman, Karen-edis.	The Florentine Academy and the early modern state :	2000		<u>Global holdings</u>	<u>Music-Library</u>
2 □	Bradford, Alfred S.	With arrow, sword, and spear :	2000		<u>Global holdings</u>	Music-Library
<u>3</u> 🗆	Daring, Bonny	The History of Modern Art.	2000			
4 □	Gabriel, Richard A.	Great captains of antiquity /	2000		<u>Global holdings</u>	<u>Music-Library</u>
<u>5</u> 🗆		The History of Modern Art.	2000			

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Sort keys - Z101

The fields which are used for building sort keys are defined in the library's tab_sort table.

tab_sort

01	008			260##	C	08	04
02	1####	а		7####	а	00	00
03	245##	а	2	240##		00	00
04	050##			LOC##	hjl	00	00
05	440##	911	2		•		

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Sort keys - z101

tab_sort

01	008			260##	С
02	1####	а		7####	а
03	245##	а	2	240##	
04	050##			LOC##	hjl
05	440##	av	2		-

Z101 - sort key 01 for

Record no. 000000001

```
01 z101_sort \
02 z101_rec_key \
03 doc_number ...000000001
03 sort_key ....01
02 z101_data \
03 alpha .....L
03 text .....1954
```

Z101 - sort key 02 for

Record no. 000000001

How to Define Sort Keys -**Tables to Remember**

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•tab_sort	defines sort keys					
•tab01.lng	defines filing procedure for creation of sort keys per field. <i>If nothing is</i> <i>defined, the default filing procedure</i> <i>99 is used.</i>					
•tab_filing	defines filing procedures.					
•tab_expand	defines expand procedures which have to be activated when index is created.					
•tab_character_conversion_line defines character conversion routines.						
•unicode_to_fili	ing_nn character conversion table used for normalization of headings.					



How to Define Sort Keys -Interrelation of Tables - *pre 15.2*

Tab_sort



How to Define Sort Keys -Interrelation of Tables - 15.2



Sort keys - Z101



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Creation and Update

- Creation p_manage_27
- Update ue 01









Sort Functionality -Performance Issues

- Sorting large sets can by time consuming.
- In order to prevent performance problems, set a reasonable sort limit:

www_server defaults and pc_server_defaults:

www_sort_limit 1000

If the number of records exceeds this maximum, the set of

records will not be sorted.

Records 1 - 9 of 1869 for W-Call no.= (ueduc); not sorted						Jump to #				
#		Author	Title	Year	Rank	Hold	Location			
1			Updating	2000		<u>Global holdings</u>	Education-Library			
2			Journal of Sun.	~~~		<u>Global holdings</u>	Education-Library			
<u>3</u>			Volumes.	~~~		<u>Global holdings</u>	Education-Library			

Short Bibliographic Record



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- Structure (Oracle table)
- Usage
- Specifying short record

Understanding Indexes

Index creation and update



Short Bibliographic Record -Z13

- A short bibliographic record is an abbreviated version of the bibliographic record in standard Oracle table format.
- The short-doc record is mainly used in order to display bibliographic information in administrative modules, or create library reports.



Short Bibliographic Record -Z13 - pre 15.2

01 z13_short_doc \ 02 z13_rec_key \ 02 z13_year1963 02 z13_open_date20000814 02 z13_update_date20010216 02 z13_call_no_alphaL 02 z13_call_no_code0500 02 z13_call_noH6538 02 z13_author_alpha 02 z13_author_code 02 z13_author 02 z13_title_alphaL 02 z13_title_code24500 02 z13_imprint_alphaL 02 z13_imprint_code2600 02 z13_imprintEnglewood Cliffs, N.J., : Prentice Hall, [1963 02 z13_isbn_issn_alpha ... 02 z13_isbn_issn_code 02 z13_isbn_issn





Short Bibliographic Record -Z13 - pre 15.2 tab22 YR 0008 008 050## a LOC## h LOC## | LOC## i F2 1#### 910## F3 245## 924## F4 260## z13 F5 020## 022##01 z13_short_doc \ 02 z13_rec_key \ NOTE: The values must be 02 z13_year1963 set according to a set 02 z13_open_date20000814 02 z13_update_date20010216 strictly according to the 02 z13_call_no_alphaL following scheme: 02 z13_call_no_code0500 YR = z13 year 02 z13_author_alpha F1 = z13 call number 02 z13_author_code F2 = z13 author 02 z13_author 02 z13_title_alphaL F3 = z13 title 02 z13_title_code24500 02 z13_titleFederal credit agencies : a series of research F4 = z13 imprint 02 z13_imprint_alphaL F5 = z13 isbn issn 02 z13_imprint_code2600 02 z13_imprintEnglewood Cliffs, N.J., : Prentice Hall, [1963] 02 z13_isbn_issn_alpha ... 02 z13_isbn_issn_code

02 z13_isbn_issn

Z13 -15.2

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02 z13_year1965 02 z13_open_date20010204 02 z13_call_no_key 02 z13_call_no_code0500 02 z13_call_noPR2779.K8 02 z13_author_code10010 02 z13_author Shakespeare, William, 1564-1616. 02 z13_title_code24513 02 z13_titleLe roi Lear. 02 z13_imprint_code2600 02 z13_imprint[Paris] Mercure de France, 1965. 02 z13_isbn_issn_code 02 z13_isbn_issn 02 z13_user_defined_1_code ... 02 z13_user_defined_1 02 z13_user_defined_2_code ... 02 z13_user_defined_2# Shakespeare, William, 1564-1616. : Le ro 02 z13_user_defined_3_code ... 02 z13_user_defined_3 Shakespeare, William, 1564-1616.: < King 02 z13_user_defined_4_code ... 02 z13_user_defined_4 02 z13_user_defined_5_code ...



	Z13 -15.2		tab22				
		(YEAR	1 008	0008		
			CALL-NO	1 050##	а	LOC	h
			ICALL-NO-K	1 LOC	п		
			AUTHUK		-	91U##	
	713		TMDRTNT	1 243##	а	924##	
	215		ISBN-ISSN	1 020##		022##	
			USER-DEE-1	2 159			
		<	USER-DEF-2	2 109			
			USER-DEF-3	2 009			
03 d	<u>loc_number200000002</u>						
2 z1	}_year1965						
2 z13	_open_date20010204						
2 z13	}_update_date20020313						
2 z13	}_call_no_key						
2 z13	_call_no_code						
2 z13	}_call_noPR2779.K8						
2 z13	/_author_code10010						
2 z 1 3	Lauthor	lilliam,	1564-1616.				
2 z13							
2 z13	L_titleLe roi Lear.						
2 z13	_imprint_code2600						
2 z13	[_imprint[Paris] Mercur	e de Fra	ance, 1965.				
2 z13	_isbn_issn_code						
2 z13							
2 Z1J	_user_defined_1_code						
Z Z13	j_user_defined_1						
Z Z13	Juser_defined_2_code /						
Z Z13	_user_defined_2# Snakespeare,	мпппа	1, 1564-1616. :	Le ro			
2 ZIJ 2 -43	<pre>/_user_uetimeu_j_coue / user_defined 3</pre>	Hillion	154/1-1414	King			
2 213 2 743	/_user_uerineu_b snakespeare, } user_defined // sode	мітташ,	1304-1010.: (KI IIY			_
2 213 2 743	/_user_defined_4_code						
2 21J 2 713	Juser_defined_5_code						
<u>c</u> <u>c</u> ij	-0001_0011160_0_0006						
tab22 -15.2

YEAR 1 008 0008 CALL-NO 1 050## a LOC Ь 1 LOC !CALL-NO-K п AUTHOR 1 1#### 910## TITLE 245## a 924## 1 IMPRINT 260## 1 ISBN-ISSN 020##022##1 USER-DEF-1 2 159 USER-DEF-2 2 109 USER-DEF-3 2 009 USER-DEF-4 2 USER-DEF-5 2

•Col.2 - function code:

1=data taken bib record's tag + subfield + position

2=data taken from the bib, using edit_paragraph

Short Bibliographic Record -Z13

Creation:

1. Z13 is created when the document is sent to the server (before ue_01 is run)

2. **p_manage_07** (Create Short Document)

Update - ue_01

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Structured Full Bibliographic Document- Z00R

•The ZOOR table contains separate ZOOR records for each of the fields in all documents of the database.

Z00R_SEQUENCE	NOT NULL CHAR(6)
Z00R_DOC_NUMBER	NOT NULL CHAR(9)
Z00R_FIELD_CODE	CHAR(5)
Z00R_ALPHA	CHAR(1)
Z00R_TEXT	VARCHAR2(2000)

Structured Full Bibliographic Document- Z00R

•Like Z00, Z00R holds doc records, but in a different way: Z00 has an entry for each record , Z00R has an entry for each field in each record.

•The Z00R-SEQUENCE is not unique; rather, it runs separately for each doc number.

•This information can be used for statistical purposes.

ZOOR - Creation and Update

•Z00R is created if TAB10-CREATE-Z00R = 'Y'

- •Creation P_MANAGE_07
- •Update when the document is sent to the server (before ue_01 is run)